Intelligent Control Systems

Visual Tracking (1) — Feature Point Tracking and Block Matching —

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# Outline

- Tracking of a Point
- Block Matching
- Gradient Methods
- Feature Point Detector

## Tracking of "a Point"

To track a point

- = To determine the motion vector of a point from a frame to its next frame (discrete time)
- $\simeq$  To determine the velocity vector of a point (continuous time)
  - Distribution of the motion vectors over the image is called optical flow
    - dense optical flow
    - sparse optical flow
  - Sometimes the terms "motion vector" and "optical flow" are used interchangeably (depending on the context)

### **Optical Flow Constraint**

Assuming that the intensity of the tracked point is constant,

$$I(x, y, t) = I(x + dx, y + dy, t + dt)$$
  
=  $I(x, y, t) + \frac{\partial I}{\partial x}dx + \frac{\partial I}{\partial y}dy + \frac{\partial I}{\partial t}dt + \epsilon$ 

Ignoring the  $2^{nd}$  order or higher terms  $\epsilon$  yields

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

This single equation is not enough to determine the two components  $\left(\frac{dx}{dt}, \frac{dy}{dt}\right)$ 

[Horn and Schunck 1981]



#### Interpretation of the Constraint

With 
$$\frac{\partial I}{\partial x} = I_x$$
,  $\frac{\partial I}{\partial y} = I_y$ ,  $\frac{\partial I}{\partial t} = I_t$   
 $(I_x, I_y) \left(\frac{dx}{dt}, \frac{dy}{dt}\right)^T = -I_t$   
 $(\tilde{I}_x, \tilde{I}_y) \left(\frac{dx}{dt}, \frac{dy}{dt}\right)^T = \frac{-I_t}{\sqrt{I_x^2 + I_y^2}}$ 

where  $(\tilde{I}_x, \tilde{I}_y)$  is a unit vector parallel to  $(I_x, I_y)$ 

Only the component in the direction of the gradient vector is determined (aperture problem)



### **Additional Assumptions**

Thus we cannot determine the optical flow from  $I_x$ ,  $I_y$  and  $I_t$ . Additional assumptions are needed.

ex1) Optical flow changes smoothly in space

- [Horn and Schunck 1981]
- ex2) Optical flow is constant within a small neighborhood of a point
  - We will investigate this in the followings
  - So, what we call "point tracking" is actually "patch (block) tracking"





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## **Block Matching**



Slides and compares the reference block through the search region

- How to compare?: by computing evaluation functions
- So, this is full-search optimization

#### **Evaluation Functions**

Letting  $T_{i,i}$  denote the pixel value at (i, j) in the reference block: m - 1 n - 1 $d_{SSD}(x,y) = \sum_{i=0}^{\infty} \sum_{i=0}^{\infty} (T_{i,j} - I_{x+i,y+j})^2$ : sum of squared differences  $\rightarrow$  min  $i=0 \ i=0$ m - 1 n - 1 $d_{\mathsf{SAD}}(x,y) = \sum \sum |T_{i,j} - I_{x+i,y+j}|$ : sum of absolute differences  $\rightarrow$  min  $i=0 \ i=0$ m - 1 n - 1 $C(x,y) = \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} T_{i,j} I_{x+i,y+j}$ : cross correlation  $\rightarrow$  max m - 1 n - 1average  $\sum_{i=1}^{n-1} \sum_{i=1}^{n-1} (T_{i,j} - \bar{T}) (I_{x+i,y+j} - \bar{I}_{x,y})$  $C_{\mathbf{n}}(x,y) = \frac{\sum_{i=0}^{m-1} \sum_{j=0}^{m-1} (T_{i,j} - \bar{T})^2}{\sqrt{\sum_{i=0}^{m-1} \sum_{j=0}^{n-1} (T_{i,j} - \bar{T})^2 \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} (I_{x+i,y+j} - \bar{I}_{x,y})^2}}$ : normalized cross correlation  $\rightarrow$  max

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#### Utilize Gradients to Explore the Solution



$$I(x, y, t) = I(x + dx, y + dy, t + dt)$$
  
$$I(x - dx, y - dy, t) = I(x, y, t + dt)$$

Writing I(x, y, t) = I(x, y), I(x, y, t + dt) = J(x, y)

$$I(x - dx, y - dy) = J(x, y)$$

Using 1<sup>st</sup> order Taylor expansion of I(x, y), SSD is written as  

$$E(dx, dy) = \sum_{u,v} \{I(x - dx + u, y - dy + v) - J(x + u, y + v)\}^2$$

$$= \sum_{u,v} \{I(x + u, y + v) - I_x(x + u, y + v)dx$$

$$- I_y(x + u, y + v)dy - J(x + u, y + v)\}^2$$

$$= \sum \{h - I_x dx - I_y dy\}^2 \quad (h \equiv I - J)$$

$$= \sum \left\{h - (I_x, I_y) \begin{pmatrix} dx \\ dy \end{pmatrix}\right\}^2$$

To minimize E, derivative of E w.r.t. (dx, dy) is equated to 0  $\sum \left\{ h - (I_x, I_y) \begin{pmatrix} dx \\ dy \end{pmatrix} \right\} \begin{pmatrix} I_x \\ I_y \end{pmatrix} = 0$ 

$$\sum \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix} \begin{pmatrix} dx \\ dy \end{pmatrix} = \sum h \begin{pmatrix} I_x \\ I_y \end{pmatrix}$$
$$\begin{pmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{pmatrix} \begin{pmatrix} dx \\ dy \end{pmatrix} = \begin{pmatrix} \sum (I-J) I_x \\ \sum (I-J) I_y \end{pmatrix}$$
$$G \begin{pmatrix} dx \\ dy \end{pmatrix} = e$$

Then, (dx, dy) is obtained by solving this linear equation (Lucas-Kanade method [Lucas and Kanade 1981])

 (dx, dy) is only approximately obtained because of the 1<sup>st</sup> order Taylor approximation. We often need to iteratively run the above process by setting J(x, y) := J(x + dx, y + dy) to obtain a good result

### Generalization

Lucas-Kanade method is viewed as an application of Gauss-Newton method (an iterative non-linear optimization method for least square problems).

- Formulations for more general image transformations are possible: e.g.
  - translation + rotation
  - translation + rotation + magnification
  - affine transformation
  - perspective transformation
- Other optimization methods could be employed: e.g.
  - Levenberg-Marquardt method
  - Efficient Second-order Minimization method

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### What is Good Point to Track



Recall that we aggregate many flows within a small block to obtain enough constraints

- A: Block with constant intensity is not suitable (0 constraint)
- B: Block including only edges with the same direction is also not suitable (essentially 1 constraint)

#### How to find a block like C?

$$(x_0 + dx, y_0 + dy)$$
  
 $(x_0, y_0)$ 

Consider two blocks

- around a point of interest (x<sub>0</sub>, y<sub>0</sub>)
- around the point  $(x_0 + dx, y_0 + dy)$

These two blocks should not resemble each other for any choice of (dx, dy)

Let's measure how they do not resemble by SSD  

$$E(dx, dy)$$

$$\equiv \sum_{u,v} \{I(x_0 + dx + u, y_0 + dy + v) - I(x_0 + u, y_0 + v)\}^2$$

With 1<sup>st</sup> order Taylor expansion,

$$E(dx, dy)$$

$$= \sum_{u,v} \{I_x(x_0 + u, y_0 + v)dx + I_y(x_0 + u, y_0 + v)dy\}^2$$

$$= \sum I_x^2 dx^2 + 2\sum I_x I_y dxdy + \sum I_y^2 dy^2$$

$$= (dx, dy) \left(\sum_{i=1}^{i=1} I_x^2 \sum_{i=1}^{i=1} I_x I_y\right) \begin{pmatrix} dx \\ dy \end{pmatrix}$$

$$= (dx, dy) G \begin{pmatrix} dx \\ dy \end{pmatrix}$$



$$E(dx, dy) = (dx, dy)G\begin{pmatrix}dx\\dy\end{pmatrix} = 1$$

is an ellipse in (dx, dy) plane. This ellipse should be as small as possible and should be close to true circle.

i.e.: Eigenvalues  $\lambda_1$ ,  $\lambda_2$  of G should be large enough and close to each other.

This is compatible with numerical stability in solving  $G\begin{pmatrix} dx \\ dy \end{pmatrix} =$ Shingo Kagami (Tohoku Univ.) Intelligent Control Systems 2014.07.01

### (Just in case you forget linear algebra)

$$(dx, dy)G\begin{pmatrix}dx\\dy\end{pmatrix} = 1$$

Noting that G is symmetric, G can be diagonalized by an orthonormal matrix P (i.e.  $P^{-1} = P^{T}$ ) so that  $P^{T}GP = diag(\lambda_{1}, \lambda_{2})$ 

$$(dx, dy) P \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} P^T \begin{pmatrix} dx \\ dy \end{pmatrix} = 1$$

Viewed in a new coordinate system  $\mathbf{z} = P^T (dx, dy)_2^T$ 

$$oldsymbol{z}^T egin{pmatrix} \lambda_1 & 0 \ 0 & \lambda_2 \end{pmatrix} oldsymbol{z} = 1$$

Or, equivalently

$$\lambda_1 z_1^2 + \lambda_2 z_2^2 = 1$$



Z₁

### **Feature Point Detector**



Good Features to Track [Tomasi and Kanade 1991]  $\min(\lambda_1, \lambda_2)$ 

These "good" points for tracking and/or matching are called feature point, interest point, keypoint and so on.

ture point, interest  $\rho_{0}^{2} = \frac{1}{2} \frac{1}{\lambda_{1}}$ 







Lucas-Kanade method applied to "Good-features-to-track" points is often called KLT (Kanade-Lucas-Tomasi) tracker

# Summary

- Tracking of a Point
  - is an ill-posed problem
  - a block is often considered instead of a point
- Block Matching
  - full-search optimization of an evaluation function
    - SSD, SAD, (normalized) cross correlation
- Lucas-Kanade method
  - a Gradient method for optimization of SSD
- Feature Point Detector
  - Harris operator, Good feature to track
  - KLT tracker

### References

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- C. Harris and M. Stephens: A Combined Corner and Edge Detector, Proc. 14th Alvey Vision Conference, pp.147-151, 1988.
- B. D. Lucas and T. Kanade: An Iterative Image Registration Technique with an Application to Stereo Vision, Proc. 7th International Conference on Artificial Intelligence, pp.674-679, 1981.
- C. Tomasi and T. Kanade: Detection and Tracking of Point Features, Shape and Motion from Image Streams: a Factorization Method –Part 3, Technical Report CMU-CS-91-132, School of Computer Science, Carnegie Mellon University, 1991.

Sample codes are in sample20140701.zip available at http://www.ic.is.tohoku.ac.jp/~swk/lecture/